

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DAVID L. CUTSFORTH

Serial No.:

10/657,512

Examiner: Unknown

Filed:

SEPTEMBER 8, 2003

Group Art Unit: Unknown

For:

METHOD AND APPARATUS FOR CREATING A GROOVE IN A

COLLECTOR RING OF AN ELECTRICAL DEVICE

Docket No.:

1099.1103102

# TRANSMITTAL SHEET

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8:	I hereby certify the	hat this co	rrespondence is b	eing deposited with the	United States
Postal Service on the date shown below with s	ufficient postage a	s first clas	s mail in an enve	lope addressed to: Com	missioner for
Patents, P.O. Box 1450, Alexandria, VA 223	313-1450 on this _	17 <sup>th</sup>	day of	FEBRUARY	, 2004.
	//-//	$\mathcal{T}$	•		

By

J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

☐ Information Disclosure Statement under 37 C.F.R. §1.97(b)

Form PTO-1449 with Three (3) cited references

Return Postcard.

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

J. Scot Wickhem, Reg. No. 41,376

Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, Minnesota 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

# FEB 1 9 2004

Ý

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DAVID L. CUTSFORTH

Serial No.:

10/657,512

Examiner: Unknown

Filed:

**SEPTEMBER 8, 2003** 

Group Art Unit: Unknown

For:

METHOD AND APPARATUS FOR CREATING A GROOVE IN A COLLECTOR

RING OF AN ELECTRICAL DEVICE

Docket No.:

1099.1103102

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17th day of FEBRUARY , 2004.

By

J. Scot Wickhem

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This application relies benefits, under 35 U.S.C. §120, on the earlier filing date of prior application Serial No. 09/864,446, filed on May 24, 2001, now U.S. Patent No. 6,652,360. The references listed on PTO Form 1449 were previously either submitted by the Applicant or cited by the U.S. Patent and Trademark Office in the above-referenced prior application and, therefore, are not required to be provided on this application under the provisions of 37 C.F.R. §1.98(d) and 37 C.F.R. §1.98(a)2)(i).

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merit. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: February 17, 2004

J. Scot Wickhem, Reg. No. 41,376

Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, Minnesota 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

FORM PTO-1449* INFORM	MATION DISCLOSURE STATEMENT	Atty. Docket No.: 1099.1103102	Serial No.: 10/657,512	
P E JOB	IN AN APPLICATION (Use several sheets if necessary)	Applicant: DAVID L. CUTSFORTH		
		Filing Date: SEPTEMBER 8, 2003	Group Art: UKN	

FEB 1 9 2004

#### **U.S. PATENT DOCUMENTS**

ENT & TRADE					
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
<u>i</u> ,	3,636,394	01/1972	Froste et al.		
•	3,756,099	09/1973	Sullivan		
	4,587,723	05/1986	Scuro		
		-			
	<del>-</del>				

#### FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
				YES	NO

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	,	
]		

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.